

## MISCELLANEOUS CASE COVER SHEET

## PLAINTIFFS

SECURITIES AND EXCHANGE COMMISSION

## DEFENDANTS

GP CAPITAL GROUP, INC. and SHANNON W. ILLINGWORTH

## Attorney's (Firm Name, Address, and Telephone Number)

THOMAS P. SMITH, JR.  
SECURITIES AND EXCHANGE COMMISSION  
NEW YORK REGIONAL OFFICE, 100 PEARL STREET, SUITE 20-100, NEW YORK, NY  
10004-2616  
212-336-1100

## Attorneys (If Known)

RANDY KATZ  
CLARK HILL  
555 SOUTH FLOWER STREET, 24TH FLOOR  
LOS ANGELES, CA 90071  
213-891-9100

## DESCRIPTION OF CASE

SUBPOENA ENFORCEMENT ACTION -  
Application to enforce SEC investigative  
(administrative) subpoenas

Has this or a similar case been previously filed in SDNY ?

No? ☒ Yes? ☐ Judge Previously Assigned \_\_\_\_\_If yes, was this case: Vol. ☐ Invol. ☐ Dismissed. No ☐ Yes ☐

If yes, give date \_\_\_\_\_ &amp; Case No. \_\_\_\_\_

## NATURE OF CASE

- |   |  |
|---|--|
| <input type="checkbox"/> M 08-85 Motion to Compel   | <input type="checkbox"/> M 26-2 Application to Enforce Administrative Order Rule 5A Rule of Division of Business among District Judges |
| <input type="checkbox"/> M 08-85 Motion to Quash  | <input type="checkbox"/> M 28 Warrant for Entry & Inspection of Premises   |
| <input type="checkbox"/> M 08-86 Internet Infringement  | <input type="checkbox"/> M 29 Privacy Act Application  |
| <input type="checkbox"/> M 08-88 Surety Companies   | <input type="checkbox"/> M 30 Privacy Act Application  |
| <input type="checkbox"/> M 08-425 Sureties Proceedings  | <input type="checkbox"/> M 31 Order of Commodity Exchange Commission Directing Person to Pay Money                                     |
| <input type="checkbox"/> M 11-03 SEC Litigation to Freeze Account   | <input type="checkbox"/> M 32 Petition for Writ to Produce Federal Prisoner in State Court   |
| <input type="checkbox"/> M 11-188 GJ Subpoenas - Unsealed   | <input type="checkbox"/> M 33 Inspection Warrant - Department of Energy  |
| <input type="checkbox"/> M 11-189 GJ Subpoenas - Sealed   | <input type="checkbox"/> M 34 Order of Another District Court that the State Court Produce   |
| <input type="checkbox"/> M 16-88 Sale of Unclaimed Seamen's' Effects  | <input type="checkbox"/> M 35 Order to Stay Transfer of Federal Prisoner   |
| <input type="checkbox"/> M 18-66 Forfeiture Proceedings - Funds Held in trust. 28 USC 1746                            | <input type="checkbox"/> M 36 National Labor Relations Board   |
| <input type="checkbox"/> M 18-302 Registration of a Judgment from Another District                                    | <input type="checkbox"/> M 37 Application to Re-Open Civil Case(s) that are more than 25 years old                                     |
| <input checked="" type="checkbox"/> M 18-304 Administrative Subpoena Proceedings                                      | <input type="checkbox"/> M 38 Application for Reassignment of Bankruptcy Proceeding  |
| <input type="checkbox"/> M 18-305 Registration of Student Loan Judgment   | <input type="checkbox"/> M 39 Application for Discovery and Inspection of Defendant Detained in Federal Prison                         |
| <input type="checkbox"/> M 18-981 Nonjudicial Civil Forfeiture Proceeding   | <input type="checkbox"/> M 41 Order of Return of 28:2254/2255 Petition   |
| <input type="checkbox"/> M 19-25 Order Authorizing IRS Officer to Enter Premises for Levy                             | <input type="checkbox"/> M 42 Order Denying Stay of Deportation  |
| <input type="checkbox"/> M 19-58 General Bonds in Admiralty Purs. to Local Admiralty Rule 8                           | <input type="checkbox"/> M 43 Contempt of Court in Bankruptcy  |
| <input type="checkbox"/> M 19-63 Receivers - Property in Other Districts  | <input type="checkbox"/> M 44 Claim Compensation under Longshoremen & Harbor Workers Compensation Act                                  |
| <input type="checkbox"/> M 19-78 Denial to Sue In Forma Pauperis  | <input type="checkbox"/> M 46 Order From Another District for Public Viewing   |
| <input type="checkbox"/> M 22-1 Designation by U.S. Attorney of Individual to accept service of summons and complaint | <input type="checkbox"/> M 47 Bankruptcy Cases - Before Appeal Filed   |
| <input type="checkbox"/> M 22-2 Designation of individual to issue certified copies in bankruptcy part                | <input type="checkbox"/> M 47B Transmission of Proposed Findings of Fact and Conclusions of Law  |
| <input type="checkbox"/> M 23 Petition to Perpetuate Testimony  | <input type="checkbox"/> M 48 Application for Appointment of Counsel - No Case In This Court   |
| <input type="checkbox"/> M 25-1 Order for Entry to Effect Levy - IRS Matter   | <input type="checkbox"/> M 49 Order Denying Commencement of Civil Action   |
| <input type="checkbox"/> M 25-2 Permission to have access to safe deposit boxes                                       |  |
| <input type="checkbox"/> M 26-1 Proceeding to Enforce Order of Administrator - National Credit Union                  |  |

**NATURE OF CASE CONTINUED**

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- ☐ M 51 Order to Show Cause - Case Being Transferred from Northern District of New York
- ☐ M 52 Application for Leave to File a Complaint
- ☐ M 53 Order Barring Individual from Entering Courthouse Building
- ☐ M 54 Immigration Naturalization - Order Delaying Deportation
- ☐ M 55 Petition for Appointment of Impartial Umpire – Labor Management Relations Act and Others
- ☐ M 58 Application for Extension of Time to File Petition for Removal
- ☐ M 59 Application to Produce Federal Prisoner in State Court
- ☐ M 67 Notice of Eviction to Squatters (USA Cases)

- ☐ M 71 Application re: Federal Rules Cr. 11(e)(2)
- ☐ M 72 Order of Attachment of Another District – EDNY
- ☐ M 73 Subpoena to Government Agency
- ☐ M 75 Application for Writ of Garnishment
- ☐ M 76 Central Violations Bureau
- ☐ M 77 Application to have subpoena issued to person living in this district regarding action in foreign country or tribunal
- ☐ M 90 Order of Attachment
- ☐ M 93 Letters Rogatory \_\_\_\_\_
- ☐ M 94 Other \_\_\_\_\_
  
- ☐ M 98 Application to file a civil case under seal.

DO YOU CLAIM THIS CASE IS RELATED TO A MISCELLANEOUS CASE NOW PENDING IN THE SDNY? IF SO, STATE:

JUDGE \_\_\_\_\_ MISCELLANEOUS CASE NUMBER \_\_\_\_\_

NOTE: Please submit at the time of filing an explanation of why cases are deemed related

PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)

SEC: 100 PEARL STREET, SUITE 20-100, NEW YORK, NY 10004 (NEW YORK COUNTY)

DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)

GP CAPITAL: 299 E. HARRISON STREET, CORONA, CA 92879 (RIVERSIDE COUNTY, CA)

ILLINGWORTH: 1217 W. 108th STREET S., JENKS, OK 74037-2692 (TULSA COUNTY, OK)

DEFENDANT(S) ADDRESS UNKNOWN

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN THE RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

CHECK ONE: AT LEAST ONE PARTY IS PRO SE No ☒ Yes ☐

CHECK ONE: THIS ACTION SHOULD BE ASSIGNED TO WHITE PLAINS ☐ MANHATTAN ☒

DATE SIGNATURE OF ATTORNEY OF RECORD

July 27, 2022 /s/ Thomas P. Smith, Jr.

ADMITTED TO PRACTICE IN THIS DISTRICT

☐ U.S. GOVERNMENT ATTORNEY

☐ NO

☒ YES (DATE ADMITTED MO. November YR. 2008)

RECEIPT #

ATTORNEY BAR CODE # TS7438

Ruby J. Krajick, Clerk of Court, \_\_\_\_\_

Dated \_\_\_\_\_